

1 DR. ELLENBERG: That answers my question,
2 but let me rephrase that for my benefit and, thinking
3 aloud, the panel's benefit.

4 My sense of that response is that the
5 ruling did not have to do with the issue of standard
6 of care. It went back to the issue of having a
7 heterogeneous control group. So let me follow on with
8 that.

9 You in defining the entrance drug criteria
10 or --

11 DR. COSGROVE: Can I respond to the
12 question before I lose -- I'm trying to keep track of
13 all the questions.

14 DR. ELLENBERG: I haven't asked the
15 question yet.

16 (Laughter.)

17 DR. COSGROVE: Well, I know, but I think
18 it's very, very important to point out that we as the
19 investigators were very perplexed, very cognizant of
20 these issues. I mean of the design study, of a single
21 arm study. We did not propose this initially. We
22 proposed a control arm, and we figured that the best

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1 control arm, albeit less than perfect for a number of
2 reasons which I'll go into, but we figured that the
3 best control, the most suitable control would be a
4 fibrin glue of some sort because similar in
5 administration, really similar in terms of some of its
6 properties, although it is a biologic device with all
7 of the attendant risks that can occur from a biologic
8 device.

9 In terms of indications for use, it would
10 be very similar. In terms of actually adherence, we
11 couldn't test it properly with a Valsalva because
12 often when you do a Valsalva with fibrin glue, it just
13 lifts off, and then you say, "Well, now I'm going to
14 have to scrape it all off and put on a new one," and
15 so you couldn't test it appropriately.

16 But we were willing to deal with some of
17 those issues, and then we went to the FDA. We got
18 their input, and were advised that using a control
19 group, using a non-FDA approved device, we were not
20 going to be allowed to do that.

21 So that was the binder. That was the
22 handcuffs that we were placed into, and then we chose

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1 the next best alternative, in our opinion.

2 DR. ELLENBERG: I'm afraid I don't see the
3 handcuffs that you had, but let's pass on that. I
4 think you've given your response to the question.

5 My second concern has to do in our
6 interpreting the safety data and the efficacy data
7 with a question as to your definition of endpoint
8 being the watertight seal. Could you talk a bit about
9 why the endpoint was not infection, for example?

10 I'm not asking if that would have been the
11 specific endpoint, but why you did not choose an
12 adverse event which you have listed very clearly in
13 the past several minutes can take many forms; why that
14 was not the endpoint rather than a watertight seal at
15 some point close after surgery and then thereon, which
16 seems to me in reading through the materials is more a
17 surrogate endpoint than what you're really after,
18 which is no complications.

19 Why did you choose the watertight seal at
20 the endpoint?

21 DR. COSGROVE: Well, no neurosurgery is
22 done without complications. So you know, there are so

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1 many adverse events. If you're going to choose an
2 adverse event as your endpoint, it's very difficult to
3 make the connection that it was anything to do with
4 your study.

5 So we chose the intraoperative endpoint of
6 a watertight seal as something that could be easily
7 defined. It's a binary observation, and as the
8 essential aspect in wound healing if you are not
9 getting a watertight seal at the time of surgery when
10 you're actually closing the dura, it is the necessary
11 achievement or objective in order to down the road
12 reduce the complications associated with a non-
13 watertight dural closure.

14 DR. VAN LOVEREN: If I might, I would also
15 say that the application for this device is as a
16 sealant to prevent CSF leak, not as a protection from
17 infection. Although infection stands as a potential
18 adverse outcome similar to other outcomes and
19 highlighted itself, I don't think it's a legitimate
20 endpoint. That's not what the application is for.

21 DR. ELLENBERG: No, I understand that.

22 DR. VAN LOVEREN: Okay.

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1 DR. ELLENBERG: But if the outcome was
2 different, the application would have been for
3 something different, but I understand your point.

4 In the area of efficacy, again, on page 18
5 of your joint presentation -- I believe it was the top
6 slide -- there's a list of the post surgical
7 eligibility, such as the size of the hole left and
8 then there's a whole other list. So that patients who
9 are essentially excluded from the study post surgery
10 if they did not meet these conditions.

11 DR. COSGROVE: Interoperably.

12 DR. ELLENBERG: Excuse me, yes. I
13 misspoke.

14 In terms of those patients, did you make
15 any attempt to see how those patients did? Did you
16 catalogue the aspects of the reasons they -- which of
17 your criteria they missed because my sense is that
18 that could be quite informative in terms of both
19 efficacy and safety? Is that data available?

20 DR. COSGROVE: Yes, it is. It is
21 available, and I can get you a complete analysis of
22 those cases. There were 23 cases that were excluded

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1 on the basis of this interoperative criteria. Those
2 are the patients you are talking about, and we have
3 that data. We even have follow-up data because they
4 were actually enrolled in the trial and we can get you
5 that data in a little while.

6 DR. ELLENBERG: There are enrolled in the
7 trial in the sense they followed the protocol?

8 DR. COSGROVE: Well, they were continued
9 to follow throughout the trial. I mean, we do know
10 what they -- no, I'm sorry. They weren't enrolled in
11 the trial, but they were followed, and we have some of
12 the data.

13 PARTICIPANT: I'm sorry, but we documented
14 their --

15 MR. ANKERUD: Go to the microphone. State
16 your name.

17 DR. COSGROVE: We know the reasons why
18 they were excluded. Oh, okay. I'm sorry. But they
19 were not followed to outcome. I thought we had that.

20 DR. ELLENBERG: Fine, okay. I think, Dr.
21 van Loveren, if you can stay up, on the issues of
22 safety in terms of how this was presented to various

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1 IRBs at the cooperating clinical centers, do the
2 protocols specify that the safety review, not the
3 efficacy comparison, but the safety review for
4 purposes of informing various TSMB components or the
5 IRBs themselves; did the safety review in the protocol
6 indicate to the IRBs that the safety evaluation would
7 be a literature based review? Comparison, excuse me.
8 A literature based comparison.

9 DR. VAN LOVEREN: I'm not sure if we
10 communicated that specifically to each IRB about how
11 the --

12 DR. ELLENBERG: Well, did the protocol
13 have that as an analytic approach to evaluation of
14 safety? Because that would have been submitted to the
15 IRBs.

16 DR. VAN LOVEREN: Right. I don't believe
17 so.

18 DR. ELLENBERG: Okay. In terms of your
19 follow-up post three months, are these patients still
20 being followed?

21 DR. VAN LOVEREN: Well, they're being
22 followed clinically, but not for purposes of this

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1 study.

2 DR. ELLENBERG: So you don't have control
3 of their follow-up at this point?

4 DR. VAN LOVEREN: No.

5 DR. ELLENBERG: If the panel advised FDA
6 that it would be useful for a long-term follow-up,
7 would you have the capability of reinitiating the
8 follow-up or are there informed consent issues? Are
9 there other things that might impede the re-contacting
10 of these patients?

11 DR. VAN LOVEREN: No, I don't think that
12 would be any impediment to that whatsoever.

13 DR. ELLENBERG: Okay. Thank you.

14 CHAIRPERSON BECKER: I think that
15 everybody on the panel has had a chance to ask at
16 least one question. I want to see if Crissy Wells is
17 still there, if she has a question.

18 (No response.)

19 CHAIRPERSON BECKER: Mr. Balo, any
20 questions?

21 MR. BALO: No questions.

22 CHAIRPERSON BECKER: So --

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1 DR. VAN LOVEREN: Could I belabor one
2 point? This is a very dangerous move on my part, to
3 go back to a question that apparently was answered and
4 bring it back up, but it's on the infection as an
5 endpoint.

6 I mean, I think infection is so determined
7 by risk profile. It's so sensitive to risk profile.
8 To set an OPC ahead of time you don't really have the
9 ability to do that without knowing what your patient
10 risk profile is.

11 If your ASA scores are all high, you
12 should pick a number, an infection rate of ten
13 percent. If your operation times are all going to be
14 less than 60 minutes, you should pick a number that's
15 in the two percent range.

16 DR. ELLENBERG: Certainly, but if you were
17 in a controlled clinical trial situation, then that
18 would be doable.

19 DR. VAN LOVEREN: Yes.

20 CHAIRPERSON BECKER: We'll have a chance
21 for one or two more questions, and there's going to be
22 an opportunity in the afternoon for even more

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1 questions.

2 Dr. Germano.

3 DR. GERMANO: A question on safety. I
4 don't see any data on seizures. Should the panel
5 assume that the 111 patients did not have
6 perioperative seizures?

7 Obviously when the compound touches the
8 brain, there is a concern that seizures can be
9 induced, whereas seizure studies done in the rats and
10 dogs?

11 DR. CAMPBELL: Yes. The preclinical
12 studies evaluated implantation into the rat. There
13 was also hydrogel extracts that were injected into the
14 cisterna magna and lateral ventricle. There was
15 preclinical studies in the canine model I showed you.

16 DR. GERMANO: How did you monitor the
17 seizures in those animals?

18 DR. CAMPBELL: They were clinically
19 evaluated immediately after application and regularly
20 daily by veterinarians. There were no signs of
21 seizures or clinical abnormalities versus the control
22 animals which were saline alone.

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1 DR. GERMANO: Did you do any EEG studies?

2 DR. CAMPBELL: No.

3 DR. GERMANO: For the clinical component?

4 DR. COSGROVE: Seizures were reported in
5 the adverse event summary sheet. I'm just looking
6 through the adverse events.

7 DR. GERMANO: It's not there.

8 DR. COSGROVE: It's not there. There were
9 three seizures reported in the final report.

10 CHAIRPERSON BECKER: Dr. Jayam-Trouth.

11 DR. JAYAM-TROUTH: A couple more points.
12 One is the cognitive problems that you say that you
13 had in page 27 of your patients and the speech
14 difficulties in ten your patients, five of your
15 patients with cognitive problems, 34 of your patients
16 with premium nerve deficits. I mean these were all
17 relevant to the DuraSeal itself?

18 DR. COSGROVE: That's correct. I mean,
19 this speaks to the patient population and the
20 procedures performed on them, and none of these were
21 unexpected, and upon review by the CDC, none of these
22 were deemed relevant to the DuraSeal application.

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1 These, you're talking about aneurysmal
2 surgery, cranial base surgery, microvascular
3 decompressions, tumor surgery, all of these things,
4 and you know, these are a standard array of neurologic
5 deficits that when you're actually recording each and
6 every adverse event, whether it's related or not to
7 the DuraSeal, these are sick patients and you just
8 have to be a neurosurgeon to understand that and a
9 neurologist, I guess, you know.

10 (Laughter.)

11 DR. COSGROVE: Of course, you may find
12 more things than we find I'm sure.

13 DR. JAYAM-TROUTH: In all of your QRAs
14 that you did --

15 DR. COSGROVE: Yes.

16 DR. JAYAM-TROUTH: -- you know, did you
17 actually show that there was a pressure change, the
18 CSF was being held up, you know, and that the surgery
19 would be helpful in these patients?

20 DR. COSGROVE: You know, that was a
21 clinical decision for surgical intervention on the QRA
22 patients was made by the site investigator, and there

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1 was nothing in the protocol looking for CSF flow
2 studies or anything like that. You know, typically
3 they have to have the appropriate clinical
4 symptomatology in that the tonsils typically have to
5 be down to the level of C1, you know, before we would
6 consider doing a decompression, but as you well know,
7 the clinical symptomatology from a QRA malformation
8 can be quite diffuse, and so that's a clinical
9 decision that the site investigator took care of.

10 DR. JAYAM-TROUTH: Okay. Dr. Cosgrove,
11 for the record, there is no data on seizures in your
12 presentation today. There is no data on seizures in
13 the presentation that you submitted to the FDA; is
14 that correct?

15 DR. COSGROVE: They're on the slides. I
16 guess it was omitted in terms of the three patients
17 who had seizures, but I believe it is in the -- yeah,
18 I think we just have to look a little more closely.

19 CHAIRPERSON BECKER: Okay. Just a
20 reminder that we will have a chance to ask questions
21 this afternoon of the sponsor.

22 I think at this point we'll take about a

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1 five minute break while the FDA gets ready to give
2 their presentation, and we'll reconvene at 11 o'clock.

3 (Whereupon, the foregoing matter went off
4 the record at 10:55 a.m. and went back on
5 the record at 11:05 a.m.)

6 CHAIRPERSON BECKER: Okay. It's now
7 11:05, and I'd like to call the meeting back to order.

8 I'd like to give a couple of reminders.
9 Firstly, when you speak, make sure you speak directly
10 into the microphone so that the transcriptionist can
11 actually get a transcription made.

12 And I'd like to remind the public that
13 while the meeting is open for public observation,
14 public attendees may not participate except at the
15 specific request of the panel.

16 We'll now have the FDA presentations on
17 this PMA, and the first presenter is Dr. Peter
18 Hudson. He'll be followed by Dr. Michael Schlosser.
19 So Dr. Hudson.

20 DR. HUDSON: Great. Thank you.

21 Good morning. I'm Peter Hudson. I'm the
22 lead FDA reviewer for Confluent Surgical's PMA

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1 application.

2 The FDA review team consisted of myself.
3 I did the lead review and the preclinical review. Dr.
4 Schlosser, who did the clinical review. Ms.
5 Silverman, who did the statistical review, she was
6 unable to be with us today, and Dr. Telber Irony
7 (phonetic) is here, another FDA statistician to help
8 us with any statistical issues that might arise. Mr.
9 Rangel, who looked up manufacturing information, and
10 Ms. Braxton, who was a lead BIMO reviewer and looked
11 clinical data integrity.

12 My presentation, I'm going to briefly go
13 over the device description, look at the toxicology
14 information, biocompatibility evaluations, and then go
15 over the preclinical animal evaluations that were
16 done.

17 The DuraSeal Dural Sealant System consists
18 of components for preparation of an absorbable
19 polyethylene glycol hydrogel sealant and a delivery
20 system, the applicator and spray tips, and it's
21 packaged in a single use kit.

22 The sealant is composed of two solutions

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1 of polyethylene glycol ester and a trilycine amine
2 solution referred to as the blue and clear precursor
3 solutions.

4 When the solutions are mixed within the
5 delivery system, it provides for a rapid in situ
6 polymerization of the hydrogel that's intended to
7 assist in the dealing of the dura mater incision line.

8 The mixing of the components occurs right at the tip
9 of the applicator just as the fluid exists the
10 applicator.

11 The sponsor has done preclinical
12 evaluations to characterize the product. The gel time
13 is less than 3.5 seconds. The pot life, or the amount
14 of time that the precursor solutions can be used after
15 reconstitution is one hour.

16 They've done in vivo animal evaluations,
17 as well as in vitro analyses, to look at the
18 degradation rate to get an idea of how quickly the
19 material might resorb, and they've determined how much
20 the material will swell once polymerized. The gel
21 will swell less than 200 percent. Two hundred percent
22 volumetric swelling is defined as the percent weight

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1 gain over a 24-hour period in a PPS bath would result,
2 for a two millimeter thick layer of gel, would result
3 in less than a one millimeter increase if the gel
4 isotropically swelled.

5 The DuraSeal device consists of the
6 following chemical components. I'm going to
7 specifically discuss the PEG ester, the trilysine
8 solution, the FD&C blue eye, and butylated
9 hydroxytoluene.

10 Polyethylene glycol, or PEG, is approved
11 by the FDA as a food additive and is used in topical
12 and oral drug formulations. It's used in ointments
13 and lotions, tablet binders, coatings for pills,
14 suppository bases, and in veterinary drugs.

15 In addition, PEG has been approved by the
16 FDA as a surgical sealant. FocalSeal by Genzyme and
17 CoSeal by Cohension Technologies are both PEG based
18 surgical sealants. The FocalSeal product is used in
19 lung indications and the CoSeal product is used as a
20 vascular sealant to assist in hemostasis.

21 The FocalSeal product consists of a PEG
22 polymer of 31,500 daltons average molecular weight.

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1 In comparison, the DuraSeal product is 20,000 daltons
2 average molecular weight.

3 The half-life of PEG polymers increases
4 with an increase in molecular weight. So a general
5 inference from that would be that the DuraSeal
6 product, its half-life could be anticipated to be
7 shorter than the FocalSeal product.

8 To address PEG clearance, the sponsor did
9 a number of blood chemistry evaluations specifically
10 to address concerns about nephrotoxicity due to PEG
11 clearance. They looked at BUN and creatinine levels.

12 They looked at preoperatively discharge and at three
13 months there were no abnormal blood chemistries noted.

14 Trilysine is the synthesis product of L-
15 lysine. L-lysine is a naturally occurring amino acid.

16 An extensive search of the toxicological databases
17 did not reveal any associated toxicities with
18 trilysine.

19 Butylated hydroxytoluene or BHT is an
20 antioxidant and has been designated as GRAS, or
21 generally recognized as safe, for use in food since
22 1959. It, too, a source of toxicology databases did

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1 not reveal any significant associated toxicities.

2 The WNO, World Health Organization, or WHO
3 recommendation for an acceptable daily intake of BHT
4 is 125 micrograms per kilogram per day. The amount of
5 BHT that patients would be exposed to in one
6 application of the device is 1.3 micrograms per
7 kilogram.

8 The no effect level that's been observed
9 in mice and rats was 5,000 parts per million and 1,000
10 parts per million respectively for the mice and rats.

11 D&C blue #1 is a water soluble dye that's
12 been approved by FDA for use in food, drugs, and
13 cosmetic products. Lifetime exposure animal studies
14 support an acceptable daily intake of 12 milligrams
15 per kilogram per day. The amount that patients will
16 be exposed to with one application of the device is
17 approximately 1,000-fold lower than that.

18 The FDA has also determined that FD&C blue
19 #1 is not is not carcinogenic is rodents after a
20 lifetime exposure. However, the sponsor needs to
21 submit a color additive petition, or a CAP, to the
22 center for use of the dye in a medical device. They

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1 need to submit a CAP to the Center for Food Safety and
2 Nutrition.

3 The sponsor is currently involved in that
4 process. This is a regulatory process that the panel
5 doesn't need to consider in their deliberations over
6 the safety and efficacy of the device for its intended
7 use.

8 The sponsor has conducted standard
9 biocompatibility evaluations of the device in
10 accordance with guidance recommendations. The samples
11 of the device were prepared in a way to be analogous
12 to how patients would be exposed to the product in
13 that the sealant plus any extractable chemicals and
14 unpolymerized polymer would be included in the sample.

15 The device passed all of these biocompatibility
16 evaluations.

17 In addition, the sponsor looked at the
18 immunogenicity of the product in four standard
19 genotoxicity evaluations. The product passed all four
20 of these.

21 No carcinogenicity testing was conducted
22 in light of these findings and also in light of the

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1 absence of any inflammation, suggesting that the
2 individual chemical components would be considered to
3 be transforming agents.

4 The sponsor has conducted preclinical
5 evaluations to investigate the device's performance
6 characteristics with respect to safety and efficacy.
7 They've evaluated in vivo animal studies to look at
8 the neurotoxicity of the product in a couple of
9 different types of assays and also done in vivo
10 evaluations for the persistence of the product to get
11 an idea of its degradation and resorption
12 characteristics.

13 Finally, they've also done reproductive
14 toxicity, teratology experiments to look at that issue
15 as well. I'm going to go over each of these
16 evaluations.

17 In the canine cranial sealing study, the
18 sponsor created a two centimeter long dural matter
19 incision. They loosely repaired that with
20 microsutures and then applied the hydrogel sealant or
21 for the control dogs did not apply anything over the
22 two millimeter gap in the dura matter.

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1 Eleven of 11 control dogs showed CSF
2 leakage at pressures less than 20 centimeters of
3 water, whereas only one of 12 animals showed CSF
4 leakage.

5 Marked peridural adhesions were observed
6 in three of three controlled dogs at seven days and in
7 one of three controlled dogs at 56 days, whereas with
8 the DuraSeal treated animals no adhesions were
9 observed.

10 Valsalva maneuvers conducted at one, four,
11 seven, and 56 days showed CSF leakage at lower
12 pressures in the controls than in the treated animals.

13 Histopathology of the control also showed thick dural
14 fibroplasias and minimal injury to the underlying
15 brain tissue, whereas in the DuraSeal treated animals
16 no fibroplasia was observed and, gain, limited injury
17 to the underlying brain tissue was seen.

18 Implant residual material was apparent at
19 seven days, but was not detected at 56 days out. So
20 the results of this experiment demonstrated that the
21 product could effectively seal a dura matter incision
22 line; that there wasn't fibroblastic or adhesion

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1 formation observed with the device in the healing
2 process, and that the implant material was gone within
3 a two-month period.

4 In the rat brain parenchymal implant
5 study, the sponsor investigated the local irritant and
6 neurotoxicity of the device, as well as they looked at
7 systemic toxicity of the product as well. They
8 implanted one by one by one millimeter sections of
9 polymerized DuraSeal and/or used absorbable gelatin
10 sponge and fibrin sealant as control implants.
11 Absorbable gelatin sponge and fibrin sealant obviously
12 are materials that are used in closure of the dura
13 matter.

14 Under microscopic evaluation, there was no
15 evidence of a local irritancy effect or neurotoxic
16 effect detailed examinations, the clinical science of
17 abnormal or diseased tissue, and neurologic
18 assessments were conducted at four, 15, 28 and 42
19 days. The DuraSeal product was considered to be
20 inert, space occupying mass that did not elicit an
21 irritant effect and did not elicit a neurotoxic
22 effect.

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1 In this neurotoxicity evaluation, the
2 investigators looked for neurotoxicity due to
3 injection of the material into the brain. Extracts
4 from the polymerized sealant were prepared and then
5 injected either into the lateral ventricle or cisterna
6 magna and compared to control buffer.

7 There was no evidence of treatment related
8 neurotoxicity in the DuraSeal or control animals for a
9 14-day take-down examination, and the only alterations
10 seen were due to trauma induced by the cannulation of
11 the tissue, and there was no macroscopic or you could
12 not see any encapsulation of the material that was
13 injected.

14 The sponsor also conducted an in vivo
15 model to characterize the degradation and resorption
16 characteristics of the material. They implanted
17 various formulations of DuraSeal into the subcutaneous
18 sites in rats. The various formulations were -- well,
19 they looked every two weeks out to 14 weeks. They
20 excised the implant sites and looked to see if the
21 material was still there microscopically, and they
22 found that the material was degraded with an eight-

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1 week period or of shorter duration.

2 And these results correlate well with what
3 was seen in the canine cranial study. The material
4 was gone within 56 days.

5 For comparison, clinical CT imaging showed
6 a reduction of approximately 75 percent of the
7 extradural space where the material had been applied
8 at three months.

9 Finally, the material was investigated for
10 any potential developmental toxicity or any kind of
11 teratogenic effect. The product was injected in a
12 single subcutaneous administration in rats. The
13 DuraSeal did not cause any developmental toxicities on
14 any of the parameters measured in the dams or the
15 fetuses.

16 So in conclusion from the preclinical
17 information, the device's chemical components don't
18 raise concerns toxicologically, either the individual
19 components themselves or the amounts of those
20 components that patients would be exposed to.

21 The device, the sponsor has done standard
22 biocompatibility evaluations of the product, and it

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1 has been demonstrated to be biocompatible. The tests
2 that they've conducted are those that are recommended
3 for medical devices having this type of tissue contact
4 and for this length of duration.

5 The animal model evaluations approximated
6 the use in humans and showed that the device could
7 work as intended and did not elicit any tissue
8 toxicities, and there's no evidence to suggest that
9 the device can cause carcinogenesis or reproductive
10 toxicities.

11 This concludes my portion of the
12 presentation of the update presentation, and Dr. Mike
13 Schlosser will give you the clinical information.

14 DR. SCHLOSSER: Good morning. I'm Dr.
15 Michael Schlosser. I'm a neurosurgeon and medical
16 officer for Division of General Restorative and
17 Neurologic Devices, and I'm going to go over my
18 clinical review of the DuraSeal study.

19 To start, the study was done under IDE.
20 The objective was to evaluate the safety and
21 effectiveness of the DuraSeal Dural Sealant System as
22 adjunct to a sutured dural repair during cranial

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1 surgery to provide a watertight closure.

2 As we've heard, the design was a
3 prospective, multi-center, nonrandomized, single arm
4 clinical study with a three-month follow-up period.

5 This is the proposed indication for use
6 statement for the device. The DuraSeal Dural Sealant
7 System is intended for use as an adjunct to sutured
8 dural repair during cranial surgery to provide
9 watertight closure.

10 I just put that up there because one of
11 the panel questions, Question 3, surrounds the
12 appropriateness of the indications for use, and some
13 of the discussion we've had this morning already kind
14 of touches on some of our concerns about the
15 appropriateness of the patient study and supported
16 this particular indication for use.

17 I'm going to talk a little bit about the
18 clinical trial design. We heard a lot about this
19 already this morning, but a few important points I
20 want to touch on, particularly some of the inclusion
21 and exclusion criteria.

22 As we heard, there were two sets of

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1 inclusion and exclusion criteria, those applied pre-
2 operatively to screen patients for enrollment and then
3 those applied intraoperatively to determine which
4 patients would be treated. So to start with I'll talk
5 about the preoperative inclusion criteria. As we
6 heard, these are all elective cranial surgeries that
7 had dural incisions. So no nonelective cases were
8 allowed.

9 Adults between 18 and 75.

10 The surgical wound classification is
11 expected to be clean or Class I. That's why the CDC
12 definition. And a little bit later I'm going to talk
13 or go through exactly what that CDC definition is, as
14 it becomes important.

15 And then finally, informed consent had to
16 be signed.

17 Exclusion criteria, there were some
18 important ones that I've selected. Translabyrinthine,
19 transsphenoidal, and transoral approaches were
20 eliminated. This also falls in line with the CDC
21 Class I for a clean wound, and exposures to these
22 bases would make a clean contaminated wound.

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1 Penetration of other air sinuses or
2 mastoid air cells. In addition to this being a
3 potential source of infection, these are also other
4 routes that CSF obviously can use to escape and cause
5 a CSF leak.

6 Prior procedure in the same location. So
7 these were all first time surgeries at that location.

8 Prior radiation or any planned radiation
9 to the site in the exclusion criteria.

10 Any evidence of systemic or local
11 infection.

12 And then chronic steroid use that had not
13 been discontinued at least six weeks prior to the
14 trial were all reasons for exclusion.

15 The interoperative inclusion criteria, and
16 these were the patients who were successfully
17 screened, were taken to the OR as part of the study.
18 They were then examined again interoperatively to
19 determine if they still met the criteria. So the
20 surgical wound had to end up being clean or Class I so
21 that if there was an inadvertent exposure to an air
22 sinus or another reason why the wound would no longer

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1 be classified that way, the patient would be
2 eliminated.

3 Durotomy had to be at least two
4 centimeters in length, and then CSF leak had to be
5 present, either a spontaneous leak or after Valsalva.

6 I'm going to come back to that part about
7 the spontaneous and Valsalva leaks in a couple of
8 slides.

9 And finally, the interoperative exclusion
10 criteria, the use of synthetic or nonautologous
11 duraplasty materials. So these are all new patients
12 who could achieve or in which the surgeon could
13 achieve an appropriate closure using either primary
14 closure techniques or using only autologous grafts.

15 A gap of greater than two milliliters, as
16 we've heard about in a little bit of detail this
17 morning, was a reason for exclusion, and then finally
18 any incidental finding of the preoperative exclusion
19 criteria.

20 So I'll just pause here to mention that
21 these points I've brought up describe kind of how the
22 population was taken from just everyone presenting for

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1 a craniotomy down to the patients who were included in
2 the trial, and it's important for the panel members as
3 they kind of already have started talking about to
4 take that into account when we starting thinking about
5 who are the patients that are studied and who are the
6 patients that the device should be used in.

7 And that, again, relates to our Question 3
8 in the panel questions.

9 Moving on in the clinical trial design,
10 the primary efficacy endpoint, as we heard, was no CSF
11 leakage after up to two dura sealant applications. So
12 the patients were challenged with the Valsalva
13 maneuver. If the DuraSeal was applied, they were
14 challenged again. If they leaked after that first
15 challenge, they could then have an additional
16 application, and then after that second application,
17 any patients that continue to leak would be considered
18 a failure.

19 The study success criteria was set at 80
20 percent. This was based on experience and pilot data
21 submitted as part of the IDE. The plan was to use
22 descriptive statistics of the success rate of the

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1 study and then compare it to that study success
2 criteria.

3 And then in terms of safety, all adverse
4 events as we noted were reported to FDA. We had a
5 specific interest in CSF leak and infection for
6 obvious reasons.

7 The plan during the IDE phase was to do
8 descriptive statistics on the safety events. There
9 was not actually a plan during the IDE phase to use a
10 literature or other control group. The comparisons to
11 the literature were things done during the evaluation
12 of the PMA data after it was submitted.

13 Specifically, CSF leak was an important
14 concern as a safety endpoint, and so a specific
15 definition of CSF leak was included. We went through
16 this. The sponsor went through this already this
17 morning, but just to reiterate, any CSF leak or
18 pseudomeningoceles that required a surgical
19 intervention, which was breaking of the skin, any CSF
20 leak confirm by diagnostic testing, and then finally
21 any leak confirmed by clinical evaluation.

22 So this basically breaks down to all leaks

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1 of fluid that could be determined to be CSF, and then
2 in addition to that, all pseudomeningoceles that
3 required some kind of intervention. So the only thing
4 being excluded are pseudomeningoceles that didn't
5 require an intervention that involved breaking the
6 skin.

7 I'd like to speak for a moment now about
8 the design rationale for the study. There are several
9 points to make here.

10 The first is the fact that the goal of the
11 device was to obtain a watertight closure meant that
12 the device lent itself to a study that used an
13 interoperative criteria. Since that could be easily
14 evaluated and kind of visualized interoperatively, the
15 use of a study success criteria with a specific goal
16 and then a single treatment group to compare to that
17 success criteria seemed like a good match.

18 In addition, as we've now heard a lot
19 about this morning, there are no approved devices for
20 this indication. Despite that, there are many devices
21 that are very commonly used in our surgical practice
22 as an adjunct to sutured dural closure, such as fibrin

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1 glues or other synthetic blues that are altogether
2 being used off label for that purpose.

3 Since there is no approved device with
4 known safety and effectiveness, no single device that
5 could be used as a control, the idea of using a
6 heterogeneous control group which is standard of care
7 was raised during the IDE stage and the pre-IDE stage
8 of this device.

9 However, a study that would randomize
10 patients to standard of care would be allowed by the
11 FDA regulations, would put us in the position of
12 having to assess a device safety and effectiveness as
13 compared to a heterogeneous group of other devices the
14 safety and effectiveness of which are not known.

15 So in a sense you have to evaluate a study
16 whereby your control group or your benchmark is
17 devices with unknown safety and effectiveness, and so
18 we felt that there was significant weaknesses in that
19 study design as well.

20 Just a note about valid scientific
21 evidence. A PMA application must demonstrate safety
22 and effectiveness through valid scientific evidence.

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1 This is per the Code of Federal Regulations 860.7, the
2 definition of valid scientific evidence, which
3 includes well controlled investigations, partially
4 controlled studies, studies in objective trials
5 without matched controls, well documented case
6 histories conducted by qualified experts, and finally,
7 reports of significant human experience with a
8 marketed device.

9 During the pre-IDE and IDE stage the
10 sponsor and FDA work together to determine an
11 appropriate study design that fits within this
12 definition of valid scientific evidence, addresses the
13 important safety and effectiveness issues for that
14 device and also satisfies the least burdensome
15 criteria of the 1997 Medical Device Modernization Act.

16 And this process was also undertaken with this
17 particular device.

18 Now, moving into the study results, the
19 population, there was 303 patients screened to enroll
20 132. Of those 132 patients enrolled, 111 of those
21 patients were treated with the DuraSeal sealant.

22 Here the patients who were excluded out of

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1 the 132 to get to 111, there are six patients due to a
2 sinus penetration; seven due to a gap greater than two
3 millimeters; three due to less than three millimeter
4 gap from dural incision to bony edge; and six due to
5 the use of a nonautologous duraplasty material.

6 It is important at this point for me to
7 notice that there are no patients who were excluded
8 because they didn't leak. So all the patients who
9 were considered for inclusion in the study either
10 leaked spontaneously or leaked with a Valsalva
11 maneuver.

12 So the idea behind using the presence of
13 an intraoperative leak was to select for a population
14 that had leaking CSF and, therefore, were at higher
15 risk for the morbidities and mortality associated with
16 postoperative CSF leaks.

17 However, in this study, all of the
18 patients leak. So there really was no selection based
19 on any kind of predilection towards future CSF leak,
20 which means that the study really describes more of an
21 all comers approach for craniotomies than a specific
22 subpopulation at risk for leaks.

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1 The follow-up, as we've seen in the
2 sponsor's study, there were two patients that died
3 before the three-month follow-up period, and there
4 were two patients who refused to participate at the
5 three-month assessment, giving a total of 107 patients
6 available at 90 days.

7 However, since we were using an intra-
8 operative criteria for the efficacy endpoint, 100
9 percent of the patients were available for that
10 endpoint.

11 This is just a chart showing the different
12 types of cases that were included in the study, and as
13 you can see, it kind of runs the gamut of typical
14 intracranial neurosurgical procedures, including
15 vascular procedures, nerve decompressions, epilepsy,
16 and a variety of different tumors.

17 The primary efficacy endpoint. All
18 patients leaked intra-operatively, as I've already
19 mentioned with the Valsalva or spontaneous leak. This
20 is the breakdown. Sixty percent had spontaneous
21 leaks, and then the final 40 percent had a leak after
22 Valsalva. I'll mention there that that also plays

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1 into our Question 3 to the panel when we ask whether
2 or not the difference between someone spontaneously
3 leaking and someone who leaks after Valsalva is
4 important in determining how the product should be
5 used in the future.

6 One hundred and five out of 111 subjects
7 had no CSF leak after the first DuraSeal application.

8 So they had the sealant of Valsalva was then done to
9 20 centimeters. One hundred and five of those
10 patients didn't leak.

11 The remaining six had a second
12 application, and no patients leaked after their second
13 application. However, there were two patients who
14 only had a Valsalva to ten centimeters of water rather
15 than the required 20, and so if we take the
16 conservative approach, assuming those two patients
17 would have been failures had they had the 20
18 centimeter Valsalva, then we get 109 out of 111 for
19 the success rate, which comes to 98.2 percent.

20 Looking at this statistically, this is the
21 study success, at 98.2 percent the success criteria
22 set out during the IDE phase of 80 percent. The

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1 brackets here represent the 95 percent confidence
2 interval, and as you can see, the lower bound of the
3 confidence interval which is at about 93 percent is
4 still well above the 80 percent study success criteria
5 set out at the beginning of the study.

6 So now I'll move on to talk in a little
7 bit more detail about safety. This is a summary of
8 kind of the important serious adverse events seen in
9 the sponsor's data. The items selected in yellow are
10 the items that I've chosen to look at in a little more
11 detail.

12 The deep wound infection, there were nine
13 such events in eight patients. As the sponsor
14 mentioned, they didn't cascade events. So there was
15 one patient who presented on two separate occasions
16 with a wound infection that was counted as two
17 separate events even though it appears from the
18 clinical history that the patients simply had an
19 ongoing infection over the course of the follow-up.
20 But that was counted as two separate events, giving
21 nine events in eight patients.

22 CSF leaks, six events, and then bacterial

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1 meningitis, two events in two patients. Again,
2 there's overlap here in that one patient had both a
3 deep wound infection and associated meningitis. So
4 that patient had two events recorded even though it
5 was probably one infection.

6 The other events listed here are stroke,
7 hydrocephalus, aseptic meningitis, cognitive
8 disturbance, cranial nerve deficits, are typical
9 events you'd seen in a post craniotomy population and
10 not of a significantly high magnitude to raise a
11 concern.

12 I'll start by examining postoperative CSF
13 leak in a little more detail. This was looked at as
14 both a safety/adverse event endpoint as it was
15 collected, but we also examined CSF leak to determine
16 if any additional information about the benefit of the
17 device to these patients could be gleaned from the CSF
18 leak results.

19 Post-op CSF leaks, as I mentioned,
20 occurred in six cases. There were three
21 pseudomeningoceles which required some kind of
22 surgical intervention, thus fitting the criteria.

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1 There were two overt CSF leaks through the incision,
2 two out of 111, giving a rate of overt incisional CSF
3 leaks, and there was one leak discovered
4 intraoperatively which we've heard some detail about
5 that case from Dr. Cosgrove. This is a patient who
6 underwent a debridement of a wound infection, removal
7 of the DuraSeal, at which point in time there seemed
8 to be some pooling of CSF, and a lumbar drain was put
9 in to prevent future leak of that CSF through the
10 wound, but since the patient underwent a surgical
11 procedure, being the lumbar drain, it was felt they
12 met the criteria for CSF leak set down in the study
13 and, therefore, were counted, giving us an overall
14 leak rate of six out of 111, or 5.4 percent.

15 So as I mentioned, the plan in the study
16 was to do descriptive statistics, which was done
17 giving us that 5.4 percent rate. However, to
18 understand what that rate means a little better, FDA
19 undertook a comparison to the literature.

20 And so I've selected out a few studies
21 from that large literature review that was done that I
22 think are interesting to point out. The first is the

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1 BioGlue study, which was done by Kumar, et al. This
2 was a study done outside the United States on the
3 synthetic glue that was used as an adjunctive dural
4 sealant, but who was not approved in the United States
5 for that use.

6 Two hundred sixteen elective craniotomies
7 were included. There was only a six-week follow-up
8 period required. CSF leaks were screened for by
9 physical exam only, and only overt CSF fistula is
10 reported. In the literature article there was no
11 mention of pseudomeningoceles, and so there were two
12 cases, or 1.2 percent, of overt CSF fistulae, but as
13 it's obvious from this slide, their definition of CSF
14 leak was different from the one used by the sponsor.
15 So it's difficult to compare apples and apples with
16 this study, but if we look at the rate of overt CSF
17 fistula in the DuraSeal study, which was 1.8 percent,
18 it's similar to the 1.2 percent seen here. However,
19 we don't know anything about the other types of leak
20 in this BioGlue study.

21 Another study on DuraPatch, which is a
22 dural substitute, involved -- and this was published

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1 by Von Wild in Surgical Neurology in '99. One hundred
2 and one elected craniotomies, so again, only elective
3 cases just like the DuraSeal study. They excluded
4 lesions of the skull based on invasion of the frontal
5 sinus, and all of these cases were such that an
6 allograft to patch the dura was needed.

7 So the exact details of the types of
8 procedures is not robust since this is just a
9 literature article. You can make the assumption that
10 these are more complicated dural problems, larger
11 dural holes that could only be fixed with an allograft
12 patch.

13 You can certainly make the assumption that
14 none of these cases could be closed simply primarily
15 with stitches. So a slightly different population in
16 terms of the problems facing the surgeons in getting
17 the dura closed.

18 Follow-up in this case was six months and
19 did include CT and MRI. However, only 75 percent of
20 the patients were available for that six-month follow-
21 up and the only other follow-up was actually seven
22 days or at discharge, and so most of the information

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1 they have is on that seven-day follow-up, and then
2 they have a substantial 25 percent loss when they go
3 out to their six months.

4 CSF leaks were clinically diagnosed.
5 Again, a very specific definition like was used in the
6 DuraSeal study is not provided. However, they had a
7 much higher rate of 12.9 percent, a numerically higher
8 rate.

9 All of those patients had some kind of CSF
10 leak that would have been included in the DuraSeal
11 study, but given that we don't have all of the
12 details on how they selected the CSF leaks, it's tough
13 to know if the number had they used the same rigorous
14 criteria we used would have actually been higher or
15 lower. But just for comparison's sake, we see a
16 higher rate here of 12.9 percent.

17 And then the last study I'll mention is a
18 study of aerosolized fibrin sealant. So as we've
19 mentioned now, fibrin glue is very commonly used in
20 these neurosurgical procedures as an adjunct. This
21 study looked at using an aerosolized delivery system
22 versus the standard fibrin sealant delivery through a

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1 syringe. It was a retrospective study, 295 cases with
2 the aerosolized variety and 214 with the normal
3 application. It was only elective supratentorial
4 craniotomies. So that's a subset of the population
5 that was seen in the DuraSeal study, which also
6 included infratentorial craniotomies, and they
7 excluded skull based approaches.

8 There was only a two-week follow-up
9 minimum required, and again, a specific definition of
10 CSF leak was not given. For the aerosolized group
11 the leak rate reported is 3.1 percent, and 8.9 percent
12 for the non-aerosolized group.

13 And, again, all nine leaks that were
14 reported in the aerosolized group were described in
15 the paper as either being treated with subcutaneous
16 punctures or with lumbar drains, meaning that they did
17 fit into the criteria for the DuraSeal study.
18 However, since we don't have a specific definition
19 given to us in the paper, we're not sure how exactly
20 they were selecting for those leaks.

21 So this is summarized. It goes without
22 saying that there are numerous reports in the

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1 literature of CSF leaks across a variety of different
2 types of surgical procedures, and reporting a variety
3 of different results, but I felt these three articles
4 kind of gave us a span of what's available.

5 The rate of the DuraSeal study, 5.4
6 percent. The BioGlue study, which obviously had a
7 rigid definition of only CSF fistula, had a lower
8 number. The DuraPatch study, which didn't give us a
9 definition, had a higher rate of 12.9 percent, but
10 again, this is probably a different problem facing the
11 surgeon in terms of achieving a dural repair than the
12 one that was studied in this study. And then the
13 aerosolized fibrin sealant which was a larger study
14 and probably a more heterogeneous group of craniotomy
15 patients, but was retrospective and, therefore, is
16 subject to some of the biases associated with the
17 retrospective design. It kind of shows some rates
18 that kind of span the DuraSeal rate, 3.1 percent and
19 8.9 percent.

20 So we have seen that the rates seen in the
21 DuraSeal study certainly fall within the range
22 reported in the literature, and depending on how they

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1 selected their CSF fistulae, the numbers came out
2 either higher or lower, but certainly the 5.4 percent
3 fell within the range.

4 Moving on to infection, there were nine
5 wound infections, as I mentioned, eight deep
6 infections and one superficial. All of the deep wound
7 infections required a reoperation, one being
8 debridement and the other seven debridement and bone
9 flap removal.

10 The one superficial infection was treated
11 with antibiotics. The overall wound infection rate,
12 therefore, is 8.1 percent. The 95 percent confidence
13 interval on that rate is actually quite wide, going
14 from 3.8 to 14.8 percent.

15 There were additionally two cases of
16 meningitis. As I mentioned, one of those cases was in
17 a patient who also had a wound infection, and so if we
18 look at a number of patients who had a procedure
19 related or neurosurgery related infection, it would be
20 ten out of 111 or nine percent.

21 I mentioned I would come back to the CDC
22 definition of wound classification, and here it is.

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1 Clean or Class I wound is an uninfected surgical wound
2 in which no inflammation is encountered, and the
3 uninfected respiratory alimentary, genital, and
4 urinary tract is not entered.

5 In addition, clean wounds are primarily
6 closed and, if necessary, drained with closed
7 drainage. Surgical incision wounds that occur after
8 nonpenetrating or blunt trauma can be included in this
9 category, which obviously means penetrating trauma
10 would not be.

11 And then clean-contaminated or Class II
12 includes penetration of the air sinuses, the
13 alimentary, genital, or urinary tracts, if done under
14 a controlled situation, and also includes cases in
15 which there's unusual contamination, meaning some kind
16 of breach in sterile technique in the OR resulting in
17 a contamination, but no obvious infection. So breach
18 of the air sinuses in the presence of an infection
19 would then bump it up into the next level which would
20 be a contaminated case.

21 So this is the definition by which the
22 patients for the study were selected. The literature,

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1 however, doesn't really make use of the CDC definition
2 just by itself because studies have identified other
3 factors which are important for predicting infection.

4 We've heard about some of those from the
5 sponsor's presentation. They include the length of
6 procedure being greater than two hours, implant of
7 foreign body, particularly shunts, which neurosurgeons
8 in the room are well aware of, and then ASA score.

9 There actually are other risk factors as
10 well, but I'll focus on these.

11 We've heard already about the Narotam
12 study. This was the 2,294 patients in which he sought
13 to determine what the risk factors for infection were
14 in neurosurgical cases. He used slightly different
15 criteria. He defined clean as elective surgery, not
16 containing one of the above risk factors, and those
17 risk factors are entry into paranasal sinuses, cranial
18 base fractures, breaches in standard surgical
19 technique, and surgery greater than two hours.

20 So it becomes quickly apparent that there
21 are some things in here that weren't included in the
22 CDC definition. There are also things in here that

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1 were not included in the Class II clean-contaminated
2 CDC definition. So this kind of lies somewhere in the
3 middle and doesn't really fit into one definition or
4 the other very well.

5 And then contaminated in this study were
6 open fractures, contamination of the site known to
7 have occurred, CSF leakage, and repeat surgeries.

8 So if you break this down now and look
9 just at the clean contaminated cases in this study, he
10 then subdivides even further. So we're looking at the
11 subgroup of clean contaminated and then subgroups of
12 that subgroup being just the patient in which entry
13 into the sinuses occurred, fractures of the cranial
14 base, surgery at two to four hours, and surgery at
15 greater than four hours.

16 And then down here at the bottom I have
17 the infection rate for the truly clean cases. So
18 patients who had none of those, and that rate is
19 extremely low, 0.8 percent.

20 So in comparison to all of these rates,
21 the clean-contaminated class as a whole had a
22 statistically higher rate than the clean case.

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1 However, an important point to note here is that this
2 surgery two to four hours was 5.6 percent. Surgery
3 greater than four hours was 13.4 percent.

4 However, that difference was not
5 statistically significant in his study, and so these
6 numbers look different, but in actuality all he could
7 say was that surgery greater than two hours was a risk
8 factor. Greater than four hours didn't prove to be
9 statistically worse than the two to four-hour group.

10 It's also kind of an important point of
11 the power of these studies. I mean, you have 178
12 patients here and 23 here, which was not enough to be
13 able to tell the difference between these two rates
14 from a statistical standpoint.

15 The DuraGen study, which was actually
16 published by the same author, was a study looking at
17 dural closure using the DuraGen product, which is a
18 collagen product, or a control group in which it was
19 not used, and we can see here these stratified by
20 clean, clean with foreign body, clean contaminated in
21 all of the cases.

22 As was mentioned by the sponsor, foreign

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1 body use was not rigorously collected on case report
2 forms for this study, which is why I just haven't
3 included anything under that column.

4 However, we do know about clean versus
5 clean-contaminated for the DuraSeal study. There were
6 no infections in the seven clean cases, and there were
7 12 infections out of the 102 clean-contaminated,
8 giving us a rate of 11 percent, which is similar to
9 the 12 percent seen in the treatment group of the
10 DuraGen study.

11 The control group had a smaller rate of
12 4.3 percent, but again, this difference was not
13 statistically significant in the DuraGen study, which,
14 again, just kind of reminds us of the power of these
15 studies given how many cases, 91 in '74 in clean-
16 contaminated.

17 Looking at just the overall totals, we
18 have the 10.8 percent in the DuraSeal study compared
19 to five percent and 4.4 percent in the two groups of
20 the DuraGen study. As the sponsor mentioned, this
21 number here, 12, is higher than the ten that I
22 presented on a previous slide because Narotam used a

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1 little more strict definition, including patients with
2 red wounds as counting as wound infections. That
3 wasn't part of the ID study design, and so they
4 weren't counted kind of initially, but were just
5 included for this comparison.

6 A couple of other studies. These are a
7 little bit older studies that looked at the use of
8 antibiotic prophylaxis. There are, however, larger
9 studies and prospective randomized controlled studies.

10 The first one by Young, published in '97,
11 looked at 846 clean procedures. Two hundred and fifty
12 of them were major craniotomies, and they had one-year
13 follow-up. And they defined clean cases as intact
14 skin without evidence of infection. So again, a
15 different definition, though it seems to be quite a
16 liberal one in that they did not necessarily specify
17 their sinus penetration. They didn't talk about
18 including trauma, blunt trauma versus not including
19 it. They just kind of had this more broad definition.

20 Their infection rate with antibiotic
21 prophylaxis for the whole 846 was .9 percent. If you
22 look just in the craniotomy, the infection rate was

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1 zero percent.

2 Length of procedure was not reported. ASA
3 score was not reported. So there are a few risk
4 factors to infection that we don't know about for this
5 study.

6 Another very similar study by Bullock was
7 another prospective randomized study of antibiotic
8 prophylaxis. This included 416 clean craniotomies.
9 This study did exclude breaches of air cells in a
10 similar fashion to what was done in the DuraSeal
11 study, and they did report OR time, with a mean OR
12 time of 107 minutes and a standard deviation of 64
13 minutes. So the average being less than two hours,
14 though with a wide standard deviation, meaning that
15 there were subpatients greater than two hours.

16 Infection rate in this case was 2.1
17 percent without antibiotics versus 5.8 percent with
18 antibiotics. So it seems like slightly higher than
19 the previous study, but in terms of the confidence
20 intervals and statistical differences, probably just
21 very similar numbers.

22 We've heard a little bit about the

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1 DuraSeal pilot study. This was done in Europe. It
2 was not an IDE study. There were 47 patients. In
3 that group there were two wound infections, 4.3
4 percent. However, again, a wide confidence interval
5 of .52 to 14.5 percent.

6 There was one stitch abscess, which
7 doesn't meet the CDC criteria for a wound infection.
8 So it wasn't counted appropriately.

9 And all but one case in the study were
10 greater than two hours. So similar to the pivotal
11 study, these were long, complicated cases, 38 percent
12 greater than four hours, but the ASA scores were less.

13 Only four cases that were greater than two, compared
14 to 33 percent of the cases in the pivotal study that
15 were greater than two.

16 So we have just as long procedures, but
17 slightly healthier patients, and we get a similar
18 number.

19 This table summarizes the studies that
20 I've presented, and again, just like CSF leak, there
21 are numerous studies in the literature that you can
22 look at to try to estimate what infection rates are

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1 for a craniotomy. I've only selected a few that I
2 think are descriptive. I'll move on to the next slide
3 because it shows the same data in a graphical
4 presentation.

5 On the left here we have the studies that
6 involve only clean cases. This, again, as I
7 mentioned, the definition of clean can change from
8 studies from one site to the next, but these were
9 these prospective randomized studies of clean cases.

10 In the center are the clean contaminated
11 cases and in the end, the DuraSeal studies which have
12 a combination, though they did have a majority of
13 clean-contaminated cases.

14 And the important thing to look at here
15 really are the error bars, and so I think what you can
16 see is that the error bars on both the DuraSeal study
17 and also on this DuraGen study really kind of span the
18 results seen in the other studies, and so it's
19 difficult to make a statistical comparison or to say
20 that this is either significantly higher than this or
21 the same as this. I think those statistical
22 comparisons are challenging, not only given all of the

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1 differences in the study design, but just given the
2 results.

3 If we even just forget about the fact that
4 these are all different studies with different
5 criteria and just look at their results, the error
6 bars are wide. So really the results are kind of all
7 falling within a very similar region.

8 I'm just going to come back to this
9 difference between the spontaneous leakers versus the
10 induced leakers with Valsalva. One of our panel
11 questions refers to those two populations. So I broke
12 the results down by those two groups.

13 The wound infection rate, 7.4 percent in
14 spontaneous leakers, 9.1 percent in induced leakers.
15 So really not very different.

16 And CSF leak, the same kind of result, 5.9
17 percent in the spontaneous leakers versus 4.5 percent
18 in the induced leakers, but those numbers are close
19 and not statistically different from each other.

20 In conclusion, the sponsor reached their
21 primary efficacy endpoint as set out in the study
22 design of a success criteria greater than 80 percent

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1 with their 98.2 percent, the lower bound of the 95
2 percent confidence interval being 93, and greater than
3 80 percent criteria.

4 Postoperative CSF leak rate was 5.4
5 percent. The wound infection rate, 8.2 percent, and
6 the procedure related infection rate, nine percent.

7 I put those numbers up there by themselves
8 because I think after the intellectual experience of
9 examining the literature and trying to come up with a
10 good comparison, we really come up with the conclusion
11 that the results in the literature are varied. They
12 use different definitions. They use different
13 criteria. They're not IDE studies. There's a number
14 of reasons why we can't come up with one good number
15 as the comparison, and so I would say that the best we
16 can learn from these studies is that with the use of
17 the device, this is the CSF leak rate and this is the
18 wound infection rate.

19 Thank you.

20 CHAIRPERSON BECKER: Thank you, Drs.
21 Hudson and Schlosser.

22 Does anybody in the panel have a question

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1 for the FDA?

2 DR. CANADY: I just have one question. It
3 is really the same question.

4 What was the control group in the DuraGen?
5 What kind of defects were left?

6 DR. SCHLOSSER: It was patients in which
7 the dural closure could not be completed with sutures
8 alone, and so they didn't specify any specific number,
9 like two millimeters that was used. It was simply
10 patients in which an augment to the dural closure was
11 required, and so it's a heterogeneous group in terms
12 of the size the hole was.

13 CHAIRPERSON BECKER: Dr. Haines.

14 DR. HAINES: For Dr. Hudson, I just wonder
15 if there's any toxicity data on direct application of
16 blue dye in the spinal fluid.

17 DR. HUDSON: Of the blue dye?

18 DR. HAINES: Yes.

19 DR. HUDSON: No.

20 CHAIRPERSON BECKER: Dr. Jensen.

21 DR. JENSEN: Dr. Hudson, in the animal
22 testing or in any of the tests, was there examination

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1 of the CSF fluid?

2 DR. HUDSON: I don't believe there was.
3 Pat, do you k now?

4 DR. JENSEN: I didn't see it, and since
5 the material was applied to the CSF, was that a
6 consideration for the FDA in asking for CSF
7 examination?

8 DR. HUDSON: We didn't ask them to do
9 that. It's a good comment.

10 CHAIRPERSON BECKER: Dr. Egnor.

11 DR. EGNOR: This is for Dr. Schlosser.

12 Regarding the FDA's recommendations about
13 control groups for this, why is it undesirable to
14 compare the efficacy of DuraSeal to the standard way
15 of managing these problems, even if the standard way
16 involves using agents that haven't been approved by
17 the FDA?

18 DR. SCHLOSSER: It really has to do with
19 how we would interpret the study results at the end,
20 and so I think that while as a neurosurgeon you may
21 say that I'm comfortable with the standard way of
22 managing these patients and if you tell me that this

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1 product is as good as the standard way, that's okay.

2 On the FDA side, we have to say that for
3 all we know, all of those products are unsafe and
4 ineffective, and in fact, maybe causing increased
5 infections, causing increased CSF leaks because they
6 haven't been studied.

7 And so to say that this product is
8 equivalent to the heterogeneous standard of care might
9 be to say that it's equally bad, which leaves you with
10 the concept that maybe then you have to show
11 superiority, but then that's a very challenging study
12 to design. How much better do you need to be?

13 That's also making the assumption that
14 those products don't work when, in fact, they may work
15 but just haven't been studied, and then you're setting
16 them up for a study that they can't complete because
17 they have to show they're better at something that in
18 actuality is equivalent.

19 And so it's just a challenging design. As
20 Dr. Witten mentioned, it's not that we would not allow
21 them to do such a study if they wanted to, but we
22 simply advised them that we felt there was a weakness

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1 in that design and in our ability to interpret the
2 results of that design.

3 CHAIRPERSON BECKER: Just to play devil's
4 advocate, I can name you several studies that are
5 currently being done with standard of care therapy
6 that's not proved for stroke prevention, for instance,
7 that are looking at neurological devices against
8 unproved standard of care.

9 So I don't think it's completely out of
10 the realm of question to proceed in that way.

11 DR. SCHLOSSER: But, okay, to follow up
12 with the devil's advocate though, I would say that
13 studies that are currently underway fall under my
14 first comment, which is that we would allow them to do
15 it. I would be curious if you would tell me studies
16 that have been approved based on the comparison to a
17 standard of care.

18 Because as we said, we'd be happy to let
19 them do it. Our concern was that it was not a study
20 that would eventually lead to an approval, or it may
21 have problems in leading to an approval.

22 CHAIRPERSON BECKER: Dr. Haines.

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1 DR. HAINES: But to follow up on that and
2 on your final comments, how does not having that
3 control help us reach a conclusion?

4 DR. SCHLOSSER: I think that our comment
5 would be that not having the control certainly isn't
6 better than having the control and reaching a
7 conclusion, but our feeling was the opposite, that
8 having the control would not put you in any better
9 situation than you're in right now, that you would
10 have the same problem you have right now if you had
11 that control, and that you may feel as though this
12 number is as good as the control group, but we would
13 feel the whole time that we don't know what that
14 control group means, and that may be you may be
15 relying on a number from a control group that seems
16 okay when, in reality, that's not okay. It's actually
17 a safety problem.

18 CHAIRPERSON BECKER: Dr. Loftus.

19 DR. LOFTUS: Unless, just as an argument,
20 you know, as a somewhat pedagogical point, but unless
21 you accepted a control group and developed use of no
22 agent, which was an off-label agent, and an argument

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1 has been made that this is an unacceptable surgical
2 standard. Many of us would disagree with that.

3 DR. SCHLOSSER: And that was something
4 that was also thought about and, you know, the
5 reasoning behind not taking that approach was simply
6 that the neurosurgeons that were consulted, you know,
7 by the sponsor felt as though that was not an
8 acceptable standard of care to leave those patients
9 open.

10 And I think that I would agree that the
11 community is probably divided on that issue. I think
12 you could probably find surgeons who, like Dr.
13 Cosgrove mentioned, like the French, who think that
14 closing the dura is just something you do and you
15 probably don't even need to do it, and you could find
16 surgeons who would tell you that you absolutely must
17 have a watertight closure.

18 And so I think that that's a tough
19 decision to make, given that there probably is an
20 accepted standard of care, but the surgeons that the
21 sponsor was working with, you know, they fell in the
22 second category where they felt it was inappropriate

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1 to leave patients, especially with spontaneous leaks,
2 you know, without any adjunct to dural closure.

3 CHAIRPERSON BECKER: Dr. Jayam-Trouth.

4 DR. JAYAM-TROUTH: In the Young study, you
5 know, the dimension of the 846 clean craniotomies, you
6 used that for infection. Was there any indication in
7 that study, you know, as to what the CSF leak rate
8 was, you know, what type of surgery it was and, you
9 know, whether they used anything at all to stop those
10 leaks?

11 DR. SCHLOSSER: Yeah, they did not
12 mention specifically the CSF leak rate in that study.
13 So we don't have CSF numbers from the Young study.

14 In addition, of the 846 cases, only 250
15 were craniotomies, and so all of the leaks from the
16 spine, I would say, are a completely different
17 physiologic problem and aren't really comparable, and
18 then they didn't report what the leak rate was for the
19 craniotomies in that study.

20 CHAIRPERSON BECKER: Dr. Germano.

21 DR. GERMANO: For Dr. Schlosser.

22 In this study, 111 patients that met the

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1 inclusion criteria leaked after experienced
2 neurosurgeons closed the dura. Did you find in your
3 review of the literature that this is the case? In
4 other words, dural closure cannot be accomplished at
5 all?

6 This is question number one. And question
7 number two: if that is the case for those
8 neurosurgeons that participated in this study, why
9 didn't they select 50 percent of those patients to be
10 enrolled and for the other 50 percent not to be
11 enrolled?

12 DR. SCHLOSSER: Okay. The first
13 question, I would say that the literature does not
14 report on using Valsalva maneuver to test for a CSF
15 leak. It is something that's done. I wouldn't say
16 it's routinely done, but it is something that's done
17 particularly in the spine, but also in craniotomies to
18 test your dural closure, but it's certainly not
19 something that's done in the 100 percent of cases, and
20 it's not at all reported on in the literature.

21 In fact, the status of the dural closure
22 prior to closing the galea was not really reported in

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1 almost any of the studies also, and so they never
2 really comment as to whether or not there was CSF
3 leaking through the suture holes or the incision in
4 any of those cases that went on to develop leaks.

5 So that's information that we kind of have
6 in this study that probably hasn't been really looked
7 at rigorously in these other studies in the
8 literature.

9 As far as, you know, the result, the fact
10 that everyone leaked, I think I would like to get the
11 sponsor's input, but I think that that would surprise
12 me, that I would have not thought that to be the case.

13 I would have thought that at least a portion of the
14 sutured dural closures would have stood up to that
15 Valsalva.

16 That wasn't the case. It turns out that
17 all of those patients leaked. Now, you know, why not
18 just exclude all those patients? Well, there's one
19 very pragmatic answer, which is that the study design
20 that was already approved included all of those
21 patients, and so you really would have had to start
22 over with a new study at that point, which you would

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1 have had to have done, of course, only after you
2 completed the study because after the first 40
3 patients you may have thought, well, we're going to
4 encounter 40 more that won't leak.

5 And so really at the end you would have
6 had to decide that now after doing the whole study we
7 need to start over.

8 Now, in hindsight, you know, what would
9 the results of the study have been if we only include
10 spontaneous leakers? Well, we don't know the answer
11 to that question.

12 CHAIRPERSON BECKER: Dr. Ellenberg.

13 DR. ELLENBERG: Dr. Schlosser, let me
14 follow up again on the issue of the control group.
15 Given that the sponsor came in with an expectation of
16 hitting above 80 percent success rate, where "success"
17 was defined as no leakage, it's not clear to me how
18 that argument plays out.

19 If you were starting in an open field
20 discussion of, well, we really had no concept of how
21 this thing was or was not going to work, I'm
22 sympathetic to that argument and probably to the

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1 approach.

2 But when we're talking about something
3 based on the pilot studies' literature review is
4 expected to work quite well and it's just a question
5 of how quite well, and you're in the range of 80
6 percent and you're actually shooting to go as they did
7 to well over 98 percent or 98.2 percent; I'm not sure
8 how that argument works.

9 Because if the standard of care group was
10 -- I'm sorry. If both groups were equally bad at the
11 85 percent level or at the 98.2 percent level, I think
12 we would have had a lot of information to deal with.

13 So if you're talking about no knowledge
14 and you're worried that comparing the control group to
15 the sealant group and they were competing for a place
16 in the eight percent level, so to speak, I'm
17 sympathetic to your argument. But when the
18 expectation is 80 percent, I really don't understand
19 how that argument still holds.

20 DR. SCHLOSSER: Okay. I think I
21 understand what you're asking. I think there's two
22 questions there, and that is that, you know, why is it

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1 80 percent, and then, you know, why is it that we
2 don't need to test that product against something else
3 rather than just against the number 80. Is that the
4 correct --

5 DR. ELLENBERG: No, it's the issue of the
6 current control group versus testing against what's in
7 the literature, and in this case it turns out we're
8 basically testing the safety against what's in the
9 literature more than the efficacy.

10 DR. SCHLOSSER: Right, because I think
11 the efficacy -- I'm not sure that this study design is
12 any worse or any better than having a control group.
13 However, I think that given what we now know about the
14 results of the study, I think that, you know,
15 especially if their control group was, you know, no
16 treatment, you would have had zero percent versus 98
17 percent as your two groups because, I mean, no
18 treatment, clearly all of those patients would have
19 leaked.

20 And then if you allowed them to use
21 standard of care and put another number, you know,
22 other devices in, they would have had some other rate

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1 possibly as high as 98 percent or somewhere in
2 between.

3 And so I think that comparison would have
4 told you the same thing that our efficacy endpoint
5 told you in that we kind of know that the goal of this
6 tool is to prevent CSF from leaking out through the
7 incision in the OR, and they achieved a 98 percent
8 success rate at that.

9 And so I think that the question regarding
10 the control group is really, as you mentioned, really
11 more one of safety.

12 DR. ELLENBERG: Absolutely.

13 DR. SCHLOSSER: But I think that, you
14 know, the safety of that control group is from our
15 standpoint completely unknown. And so I think that
16 you could speculate during the study design that if
17 the numbers came out a little low but similar that
18 maybe you would have some confidence, you know, that
19 the control group was also safe and that the treatment
20 group was safe, but I think that in the end you would
21 have not had anymore assurance.

22 You know, you're comparing to an unknown.

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1 I mean, you have to make assumptions about that
2 unknown that we're not willing to make because we make
3 people do studies to prove safety. We don't make
4 assumptions about safety.

5 And so I think in order to evaluate that
6 result you have to make an assumption that we don't
7 routinely make at FDA, and that is that something that
8 hasn't been tested under an IDE study can be assumed
9 to have a certain outcome.

10 DR. ELLENBERG: But you're asking us to
11 advise you in what seems to me to be a less opportune
12 situation where we're looking at a nonconcurring
13 control group cold from the literature. That's not
14 good in terms of assessment of the safety.

15 If the control group had a lower profile
16 for safety -- excuse me -- a lower infection rate than
17 the DuraSeal group and the DuraSeal group was as
18 effective as it is now and presumably it would be more
19 effective than the standard of care because there must
20 have been that motivation in bringing this this far
21 along, my sense is that we would have a much better
22 feel for what the safety issues were.

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1 If you didn't know -- not you
2 personally -- if the world doesn't know the safety
3 profile for standard of care, then after this study
4 they would have a better handle on what the safety
5 profile standard of care was in spite of the fact that
6 the control group would by the nature that the
7 standard of care is described, where basically the
8 surgeon is there, there's a problem, there's a leak,
9 and there's a shelf full of options, and the surgeon
10 individually determines based on the type of surgery,
11 the patient condition, et cetera. That couldn't be
12 changed. I understand that, but that is an approach.

13 It's a defined approach. It's what happens every day
14 in the surgery theaters in the United States and
15 apparently not in France --

16 (Laughter.)

17 DR. ELLENBERG: -- but it's fairly
18 standard of care.

19 I simply don't understand why that
20 comparison would have been helpful on the safety side
21 and why it wouldn't be better than what we're being
22 asked to judge.

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1 DR. SCHLOSSER: Well, again, I think
2 there's an assumption being made there, and that is
3 that in the end of the study, the numbers would have
4 come out in a certain way, meaning that the rate would
5 have been higher or would have been lower.

6 I think that, you know, the opposite could
7 have been true, and I think that the panel could have
8 been given a false sense of security if the numbers
9 had come out the same or if the control group had come
10 out with a higher number. You might have been given
11 the false sense of security that, oh, this device is
12 safe because its number is the same or lower than the
13 control group, whereas in reality all that may have
14 been telling you is that the device is just as unsafe
15 as standard of care.

16 And I think that the panel may have been,
17 you know --

18 DR. ELLENBERG: But what's wrong with that
19 answer for this particular application?

20 DR. SCHLOSSER: Because we don't approve
21 devices based on the fact that they're as unsafe as
22 other unapproved devices. We approve them based on

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1 the fact that they demonstrate a reasonable assurance
2 of safety and effectiveness.

3 And so I think that the short answer to
4 the question is that we didn't know that the panel
5 would be in a better situation with that study than
6 they're in now, and from a least burdensome approach,
7 this was the least burdensome of the two studies,
8 which in our estimation would give the same level of
9 results and kind of put you in the same position that
10 you would be in with that other study design.

11 But I will reiterate what Dr. Witten
12 mentioned, which is that that design was an option and
13 that it was not that the FDA would have disapproved
14 the IDE if they had chosen to use a heterogeneous
15 control.

16 DR. ELLENBERG: I understand that.

17 DR. SCHLOSSER: We simply advised them we
18 thought there was weaknesses in the design.

19 CHAIRPERSON BECKER: I think we'll let Dr.
20 Schlosser off the hot seat for the moment and break
21 for lunch. We'll reconvene at one o'clock, and there
22 will be a chance for more questions for the FDA and

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1 the sponsor as well.

2 (Whereupon, at 12:11 p.m., the meeting was
3 recessed for lunch, to reconvene at 1:07 p.m., the
4 same day.)

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(1:07 p.m.)

CHAIRPERSON BECKER: It's now five minutes
after one o'clock, and we will resume the panel
discussion.

Two lead panel reviewers, Dr. MacLaughlin and Dr. Canady, will open this part of the meeting with the remarks to help focus the deliberations. The panel will then discuss and deliberate on the information in the submission and the information that the sponsor and the FDA presented.

The panel can ask the sponsor or FDA questions at any time. After a general discussion, the panel will address the FDA question. Then there will be a second open public hearing and FDA and sponsor summations. Then the panel will conclude the deliberations and vote on the recommendations concerning the PMA.

The first lead panel reviewer is Dr. MacLaughlin.

DR. MacLAUGHLIN: Thank you very much for
setting up this overhead for me because my CD burner

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1 crashed and I don't get a chance to make a fancy
2 presentation, but this brings me back to my old days,
3 anyway, in school.

4 So what I did was to try to summarize what
5 was done by the sponsor to sort of analyze all of the
6 materials that go into this DuraSeal product, how it
7 was tested, how it's made, and what sort of controls
8 are built in for the ultimate safety of the patient.

9 And as we've all heard, this device is
10 made to, you know, make sure that we close wounds in
11 the dura that are up to two millimeters in width, and
12 I think what's important to note, too, is that this
13 hydrogel product is an absorbable, cross-linkage
14 polymer of 20,000 molecular weight, and this cross-
15 linking is done in a non-exothermic or endothermic
16 way. It's an isothermic reaction. It happens
17 immediately. So it doesn't generate any local heat,
18 which can sometimes happen in chemical catalysis.

19 And I think that's a useful thing to point
20 out because I feel that that's another measure of
21 safety. It polymerizes right away, and it doesn't
22 create any local heat, and it's pretty stable, as

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1 you've heard, to 37 degrees C.

2 And the desired performance
3 characteristics I mention again because they're part
4 of the testing procedure that went on at Confluent
5 Surgical in order to evaluate how well the product
6 that they were getting is performing.

7 So it needs to be easy to use and needs to
8 be absorbable, and it had to adhere to the dura and
9 not to other structures, the sort of lubricous
10 characteristic that we've heard about already, and it
11 needs to be biocompatible. And I think many of these
12 things were tested in these products over time, and I
13 think it's important to note also that everything you
14 use in this product is bought off the shelf. I mean
15 certain items are made to Confluent's specifications,
16 but they're all available and used widely in lots of
17 other applications, and that was important to me in
18 this analysis, and there are three or four different
19 vendors of the materials. I didn't mention all of the
20 vendors for the plastic stuff, for the syringes and
21 the caps and the containers and all of that because
22 they have all been covered, I think, by the FDA under

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1 many other applications.

2 But all of the material that the company
3 gets is delivered to the site, and they sort of
4 package it together after testing it. It goes to
5 another company to ship it out. So there are controls
6 built in that I'll talk about in a minute for that.

7 So, anyway, I think a couple of points
8 that I wanted to raise in this analysis was what
9 Confluent does once they get the product and why
10 they've arrived at certain specifications for the
11 product in particular, some questions I really want to
12 raise in that.

13 The other thing that is important is that
14 the breakdown products of this polymer that you heard
15 about in this morning's discussion are basically the
16 same as the product itself. So you don't need to
17 worry about a new, you know, actor in the game for
18 toxicity. You're really looking at the same thing,
19 going, dissolution, being cleared at the end of the
20 day. So that's important to me.

21 So how happy am I with all of this? You
22 know, I sort of looked at it to say what would I

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1 really want to have done and have accomplished, and
2 the performance characteristic testing at the site --
3 this is not in the patient. It's either in the animal
4 or in vitro -- has to meet certain standards that the
5 company sets, and this is a few questions I wanted to
6 raise here as to why they're set.

7 The reconstitution of the PEG, this
8 polymer in its buffer, you know, should happen in give
9 minutes. It's simple. You're just going to dissolve
10 the material. It has to be completely in solution
11 quickly. That's easy to analyze, easily understood.

12 The gel time is three and a half second.
13 They tested this by taking the product and just
14 squirting it from one of those syringes into a beaker
15 that has a stir bar in it. Boom, in three seconds it
16 has solidified. Simple test, not hard to confuse.
17 That's important because it relates to the, you know,
18 chemical composition of the products as they're mixed.

19 One thing I did have an issue with though
20 is this so-called swelling characteristic. This is
21 200 percent, and that's I understand why it's not good
22 to have a lot of swelling in the brain. I don't

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1 understand why 200 percent is the standard they picked
2 -- excuse me -- the specification they picked because
3 when they analyzed the material, their own data shows
4 it to be way lower than that. So why pick a huge
5 window when it really should be maybe smaller. I'd
6 like some feedback on that

7 The hydrolysis in vitro is one and a half
8 to four days at 60 degrees Centigrade, which is called
9 an accelerated test. So you know the material is
10 going to be put together. You know it's going to go
11 into a patient. You know it's going to dissolve and
12 be reabsorbed. So one of the chemical characteristics
13 you can test periodically is to make your polymer, put
14 it in a solution, heat it up, and decide how long it
15 takes to fall apart.

16 So they have this accelerated test and
17 then they have the 25 degrees C. test, and I don't
18 understand why we have those two tests, why they're
19 necessary. I think the 25 degree test makes sense to
20 me. The 37 degree test I have to say doesn't make
21 sense to me because I don't understand what it's
22 telling us. It's not what's going on with the

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1 patient. The patient is on 140 degrees, you know.
2 They're 25 degrees.

3 So, you know, I want some feedback on why
4 that's a standard that they picked. What is that
5 telling you about the safety of that product to have
6 that measure? Real time makes more sense. Real
7 temperature makes more sense to me.

8 So the application, this is the syringe
9 integrity polymer, tips and all of that. They went
10 through a series of trials actually using different
11 kinds of products, spraying them, testing for the
12 pattern of spray, how well things polymerized in
13 place, and arrived at, I think, a reasonable set of
14 materials, a reasonable set of syringes, a reasonable
15 set of tips, applicator tips. All of that seems to
16 make good sense to me. I don't have any concerns
17 about that.

18 The other thing that's careful to inspect
19 every time new products are shipped -- remember this
20 is coming from vendors into your facility -- you have
21 be sure that their oxygen content, especially of the
22 sealed glass vial, is important and the buffer pHes of

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1 the mixing reagents are proper. That's something they
2 test all the time. I think they should test all the
3 time because it does affect how much polymerization
4 one gets and how stable the product is.

5 So just doing a squirt test and seeing
6 polymerization doesn't tell you how long it's going to
7 last. It has to be many different levels of testing,
8 which I think, in fact, they do.

9 The absorption and the sealing tests Dr.
10 Hudson spoke about, I think they're very
11 straightforward. I didn't have any trouble
12 understanding the goals, understanding the data, or
13 coming to the conclusion that I didn't think there was
14 any toxicity, especially when you consider the
15 historical controls which were done on a lot of these
16 materials. Lots of studies have been done on these
17 materials in the literature, and you look at how much
18 of this material is available in one or two
19 applications into a head. You've got so little of
20 this product around. I don't see toxicity being a
21 major player here of any of the components.

22 What I'm more concerned about is why

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1 certain specification standards were set and how
2 they're tested for.

3 So another issue is this package
4 integrity, which you have to consider. They're
5 putting lots of different components into a plastic
6 container. It's going to be stored for so many
7 months. It's going to be shipped out to place. How
8 hot can it be? How cold can it be? Is it going to
9 keep bacteria out? Are you going to introduce, you
10 know, bad things through the package itself? I think
11 that's pretty well controlled for, too.

12 I don't have any difficulty either
13 understanding their goals, the analysis that they
14 used, or the results that they have. I think it's
15 fairly clear. No problems there.

16 The shelf life issues, though, is another
17 one of these accelerated versus nonaccelerated types
18 of test. When they sterilize the material, it gets
19 irradiated, and if you measure where the irradiation
20 falls and measure how much radiation occurs from the
21 surface through the material, you can get minima and
22 maxima of radiation.

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1 So you're like to be sure everything is
2 stable. So you do a series of experiments in which
3 everything gets the maximum dose versus the standard
4 irradiation of the material.

5 That is underway, and as far as I know,
6 those results on performance testing have not been
7 completed, but are pending, and I'd like to know if
8 they are completed now because you can have effects on
9 the ultimate product based on irradiation, not of the
10 patient, but of the material as it's sterilized.

11 So that's another thing I was interested
12 in hearing some more about, and that has to do with
13 acceleration, too. That's a shorter feedback loop to
14 find out if your product is clear or not.

15 So the toxicity studies and the
16 biocompatibility studies, I think, are also very
17 straightforward to me. All of the non-hydrogen
18 products have historical controls which I have no
19 quibble with, and everything else was tested, I think,
20 pretty much by very standard and well accepted
21 criteria for, you know, genotoxicity, all of the
22 things that have been mentioned actually by the FDA

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1 presentation.

2 Carcinogenicity, as I say, was not tested
3 because of historical controls, which I think are
4 reasonable, and I think the in vivo testing for
5 biocompatibility relating to the seal test in the dogs
6 and the imaging studies, all of the other in vivo
7 animal studies I thought were reasonable because I
8 think they did approximate what happens in the
9 patient. I think it approximated how much material
10 you put in, where you're putting it in, how long
11 they're going to be in there.

12 So it sort of matched the four to eight
13 week study period, not the three-month control stuff,
14 but the four to eight-week stuff. I thought it
15 matched pretty well what was going on in the patient
16 and no untoward or no adverse effects were not, and I
17 think that's pretty reasonably done.

18 The extraction was a slight variation on
19 the theme where the hydrogel was extracted and ejected
20 into these spaces referred to by the FDA, and there
21 was no adverse effect there either.

22 So when we talk about, you know, the dye

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1 or specific components having effects, I think of the
2 worst case scenario is right next to the implant or
3 right next to the injection. That's where the dose is
4 highest. That's where if you're going to have a bad
5 effect you're going to see it there, and none was
6 seen.

7 So I'm kind of back and forth in my own
8 mind about whether that's a useful study to do in a
9 different way.

10 The last point I think I want to reach is
11 the fetal toxicity study and the proliferation
12 inhibition study. The fetal toxicity study and the
13 maternal fetal compartment study was begun at four
14 days of pregnancy. So a small caveat is while it may
15 be difficult to establish when a rat is pregnant, you
16 know, a lot has happened in four days.

17 So you start injecting at four days. You
18 know things are pregnant, and you know the animals are
19 pregnant. So from that day on you know there's no
20 untoward effect.

21 It's just a caveat. I'm not saying do
22 anything sooner. It's just a limitation. It doesn't

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1 cover, you know, the nidation period or getting
2 pregnant or anything like that, but again, I don't
3 have any suspicions of any of this material causing
4 any problems, but it's a caveat that you've already
5 had fertilization. You've already had nidation.
6 You're now starting to develop. In a 21-day
7 pregnancy, you're already four days in. So that's a
8 small point.

9 The proliferation and inhibition studies
10 on the cell growth where they took extracts of the
11 material, put it into cell culture with four or five
12 cell lines I thought was completely uninformative
13 actually. I didn't know exactly what they were going
14 for. I understand you want to see if it inhibits our,
15 you know, causes proliferation of cell growth, but to
16 me proliferation is changing rate of growth. Awful
17 hard to do in four days. Okay?

18 If you put something into culture, there's
19 no discussion of what the doubling times of the cells
20 were. You know that it was an empty T assay, but you
21 don't know what its states of competency were. We
22 don't have any other data around that, and I'm not

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1 sure what it was designed to tell us.

2 You know from the histology data that
3 there isn't a lot of proliferation at the site of
4 these things. You don't see inhibition of cell
5 growth. You don't see inhibition of cell growth. You
6 don't see wound failure. You don't see the things
7 that would be characteristic of stimulation or
8 inhibition of cell growth. So I don't know what that
9 was done for, and maybe I could be informed about
10 that.

11 So overall, I think I agree pretty much
12 with the FDA's determination that this material does
13 not contain anything that I think is risky. I don't
14 think by themselves those components contribute to any
15 of the side effects we've been talking about in
16 sealing the dura. I don't see any smoking gun there,
17 and I think they've been reasonably tested.

18 My concerns are what happens at the
19 factory evaluating all of the things that come in from
20 different sources and what their standard of
21 performance is going to be every time you get a new
22 lot, every time you ship things out.

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1 How long are things stable? Six months it
2 says on the label now. That's the only thing you have
3 real time data for. Any extension of that needs more
4 data, that sort of thing.

5 I'm staying right within the confines of
6 physiology and your own data.

7 So that's really all I have to say.

8 CHAIRPERSON BECKER: Thank you.

9 Does anybody on the panel have any
10 questions for Dr. MacLaughlin?

11 (No response.)

12 CHAIRPERSON BECKER: Would anybody at
13 Confluent Surgical like to address some of the
14 questions raised by Dr. MacLaughlin at this point or
15 in the summation later? Your choice.

16 DR. CAMPBELL: Thank you, Dr. MacLaughlin.
17 Those are some excellent observations. We'd like to
18 address that.

19 I'd like to introduce Amar Sawhney. He's
20 the president and CEO of Confluent Surgical, founder
21 of the technology.

22 I tried to keep a list of your questions

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1 one by one. So I'll try to address them. If I miss
2 anything, I trust you'll let me know.

3 DR. MacLAUGHLIN: I sure will.

4 DR. CAMPBELL: The first comment you had
5 concerning the swelling and the 200 percent swelling
6 specification, you're correct. That is a
7 specification that we test for. Every lot that is
8 released we evaluate the amount that the hydrogel
9 expands.

10 The way the test is performed is we weigh
11 it initially, a sample. Then we put it in PBS for 24
12 hours, weigh it after 24 hours, and the percent
13 increase in weight is the 200 percent specification.

14 DR. MacLAUGHLIN: I know how you do it.
15 I'm just wondering why you picked 200 percent.

16 DR. CAMPBELL: The 200 percent
17 specification was like several ways. One, we've
18 looked at competitive products that are currently used
19 in neurosurgery of those gelfoam, flow seal, surgicel,
20 others. Those products can swell in a similar test
21 that much or more, 50 to 200 percent or more.

22 We've also performed as you're aware

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1 studies in canine and rat models. The canine model
2 arguably is a worse case model where you have a
3 durotomy which has been performed in an animal with a
4 fairly small cranial vault compared to humans. You've
5 applied an appreciable amount of DuraSeal there,
6 similar thicknesses to what you would have in humans.
7 You have not removed any kind of brain parenchyma or
8 tissue underneath. So any swelling is felt by the
9 brain. There's no space or void to fill, and the bone
10 flap is replaced and the tissues are sutured over the
11 top.

12 So arguably, that's a worst case scenario.
13 we perform two different preclinical studies in
14 canines using that model, and in both studies we found
15 no mass effect, no residual effect from that.

16 DR. MacLAUGHLIN: If I could say, I have
17 to agree with that. I agree with your data. What I'm
18 saying is you're allowing, you know, 100 percent more
19 space to be in this product than you have. I'm just
20 saying make it the standard that you have because if
21 you allow more space, you don't have that data in the
22 dog. You have the data that you have, which is maybe

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1 110 or whatever it is. I forget the specific number,
2 how much percent you actually get of swelling.

3 DR. CAMPBELL: Well, a lot of those
4 testings were performed with formulations where we
5 were getting up to 200 percent swelling.

6 DR. MacLAUGHLIN: But none seen. I didn't
7 see any in your data.

8 DR. CAMPBELL: We have, as you mentioned,
9 refined manufacturing processes, and typically our
10 swelling is less than that right now.

11 DR. MacLAUGHLIN: Sure.

12 DR. CAMPBELL: However, we have data that
13 shows that it's safe at 200 percent, and to maintain
14 manufacturability so that lot to lot variations don't
15 affect this, we feel that 200 percent is an
16 acceptable, safe level to select.

17 DR. MacLAUGHLIN: Well, I have to say I
18 haven't seen the 200 percent data. You know, it was
19 like looking at your volatiles, how much organic
20 volatiles. I didn't mention that in the presentation,
21 but there's a specification that say how much organic
22 volatiles you can have, which are toxic if you get

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1 them in high enough concentrations.

2 I'm not saying we're there yet. We're
3 definitely not there, but the window is really big
4 compared to what you actually have in your lot after
5 lot testing. So I'm just trying to make some
6 determination as to why you need these big windows
7 when your product isn't that big.

8 DR. SAWHNEY: Amar Sawhney. I'm the
9 president and CEO of Confluent.

10 Let me attempt to respond. The window is
11 actually sort of not that big because volumetric
12 swelling takes place with the cube function. So while
13 thickness doesn't expand that much --

14 DR. MacLAUGHLIN: Yes.

15 DR. SAWHNEY: -- the weight gain can be
16 substantial. So it doesn't take much to reach that,
17 the 200 percent, and when we had done the studies, the
18 data that we have reported on the lot to lot variation
19 is for the more recent lots.

20 The testing that was done on the canine
21 study with the original materials did have that amount
22 of swelling. So while it is not explicitly pointed

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1 out for that particular lot, those were studied. Then
2 we have backed down and proved our manufacturing
3 techniques, but we have tested the worst case scenario
4 in those animal studies.

5 Also, the animal studies are predisposed
6 because of the limitations, the limited space and the
7 fact that no parenchyma is removed. We believe we
8 have tested the worst case scenario both from a
9 formulation and an animal study perspective.

10 DR. MacLAUGHLIN: Right. I think it's
11 important for us to see the data. We've only seen
12 your latest stuff, not the earlier stuff. I think
13 that's an important consideration in deciding what the
14 specifications of this material would be.

15 DR. SAWHNEY: Okay. Good point.

16 DR. CAMPBELL: A second point you
17 mentioned was our disappearance testing, our in vitro
18 disappearance testing. We initially started off by
19 doing a test which is similar to the swelling test
20 that I described where we get a piece of gel, put it
21 into 37 degree PBS, and then observe it on a daily
22 basis and determine the time at which the gel has

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1 completely gone into solution and there's no solids
2 remaining.

3 If you do that in PBS doing that test,
4 it's up to 40 days or so at which that test occurred
5 or takes for the material to dissolve.

6 In order to streamline and since this is a
7 test which is used for lot release, in other words,
8 every lot that we manufacture needs to pass this test,
9 we formed in-house testing where we determined the
10 correlation of disappearance rate with temperature.

11 In other words, as you know, as you
12 increase the temperature, the hydrolysis rate will
13 increase also, and we did it with multiple lots using
14 multiple lots of polymer. We determined the
15 correlation of temperature and degradation rate and
16 correlated that and determined a way to do the test,
17 the same test, where you're determining -- you're
18 demonstrating disappearance, but you do it at a much
19 higher temperature, and it allows you to do it in less
20 than a week.

21 DR. CAMPBELL: Right.

22 DR. SAWHNEY: Let me amplify on that a

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1 little bit. It's a standard chemical reaction. It's
2 a first order kinetic that's taking place. It's an
3 erraneous (phonetic) plot that you do. Very similar
4 work is done if you look at pharmaceuticals. Their
5 stabilities and standard kinetics can be accelerated.

6 It's also a bulk hydrolysis. So it is not
7 relative to say sutures which may not have a
8 penetration of the water. Here the material is
9 entirely permeable because it is substantially water.

10 So the bulk hydrolysis can be adequately accelerated
11 with first order kinetics using an elevated
12 temperature and provides a robust extrapolation and
13 allows you to conduct a study and a test as a release
14 criterion and an appropriate time, and we have data
15 demonstrating that correlation.

16 DR. MacLAUGHLIN: But I guess my point
17 about this is the same as the previous point. You
18 have data in the patient or in the animals. You know
19 how long it takes to go away at that temperature. I
20 agree with you it's first order kinetics, but three or
21 four major elements play: pH, oxygen concentration to
22 get your ultimate right cross-linking.

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1 And what you're doing is correlating one
2 temperature with another, and that higher temperature
3 has no correlate in the animal. So you don't know
4 that that's telling you about the structural integrity
5 of this material. You know that is' faster degrading
6 than at 25 degrees, and I like the conformity of the
7 sort of real time/real temperature data analysis of
8 this material because it goes together really fast.
9 Your own data show oxygen concentrations are very
10 important, and I'm just saying I want a little more
11 justification then.

12 You can release it faster because there
13 isn't a correlation going back to the patient.

14 DR. SAWHNEY: Actually let's talk about
15 oxygen. Oxygen concentration during the cross-linking
16 is, frankly, not important. Oxygen is important as
17 part of the manufacturing process wherein oxygen
18 radicals in the presence of radiation sterilization
19 can end up with G incision (phonetic) after molecules,
20 and that's why the keep the oxygen concentration.

21 Once the solution is reconstituted, the
22 presence or absence of oxygen, it really doesn't have

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1 any material effect to it.

2 DR. MacLAUGHLIN: I'll concede that point.

3 What I'm saying is that when you look at your own
4 analysis of what a product is, all I'm saying is that
5 I guess I don't understand why faster is better. I
6 mean, what advantage does that bring to the table?

7 DR. CAMPBELL: The main purpose for this
8 disappearance test was just to demonstrate that the
9 material went into complete solution after a certain
10 amount of time.

11 DR. MacLAUGHLIN: Yes, I understand that.
12 I'm talking about the elevated temperature analysis.

13 DR. CAMPBELL: Exactly. And the elevated
14 temperature just allows us to demonstrate that in a
15 week rather than 40 or 50 days.

16 DR. SAWHNEY: It's just a release study.
17 It's a test, and once we have studied the material and
18 we understand its behavior in vivo, now it's more a
19 test of showing that one lot is similar to another
20 lot, and that allows us to do the testing.

21 DR. MacLAUGHLIN: I understand. We can
22 agree to disagree on this, I guess.

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